

## CLAIRE M. ROSENFELD

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School of Business • University of Kansas • 1654 Naismith Drive #3114 • Lawrence, KS 66049  
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### TEACHING INTERESTS

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Financial Management, Financial Markets and Institutions, Fixed Income, Commercial Banking, Corporate Finance, Careers in Finance

### EDUCATION

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#### Ph.D. in Finance

Carlson School of Management, University of Minnesota 2007  
Dissertation Title: "The Effects of Banking Relationships"

#### B.S. in Business Administration, With Honors,

Haub School of Business, Saint Joseph's University 1999  
Major: Finance Minor: Mathematics

### EXPERIENCE

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#### School of Business, The University of Kansas

*Assistant Area Director* January 2019 – Current  
*Assistant Teaching Professor* August 2020 – Current  
*Lecturer* Fall 2018 – August 2020

#### Raymond A. Mason School of Business, William & Mary

*Assistant Professor of Finance* Fall 2009 – Spring 2018

#### Federal Deposit Insurance Corporation

*Financial Economist, Banking Research Branch* Fall 2007 – Fall 2009

#### Carlson School of Management, University of Minnesota

*Instructor* Spring 2004 & 2005

#### BDO Seidman, LLP

*Turnaround Management Consultant* May 2000 – July 2001

#### Accenture

*Business Process Analyst* June 1999 – May 2000

### AWARDS

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- Outstanding Online MBA Instructor, University of Kansas, 2022 and 2020
- Iorio Business Faculty Award, 2022
- Jayhawk Impact Awards: People's Choice Award, University of Kansas, 2019
- Outstanding Teaching Assistant, Carlson School of Management, 2005

## AWARDS (continued)

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- Invited Participant, Doctoral Seminar, FMA Meetings, 2005
- Carlson School of Management Dissertation Fellowship, 2005-2006
- Ph.D. Program Fellowship, Carlson School of Management, 2001-2005

## SERVICE TO SCHOOL AND UNIVERSITY

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- Faculty Advisor, Women in Finance, Fall 2018 – Present, University of Kansas
- Mentor, MBSP Students, Spring 2019 – Present, University of Kansas
- Member, Faculty Teaching Award Committee, Fall 2022—Present, University of Kansas
- Guest Speaker, Alpha Delta Pi Sorority Meeting, October 2022, University of Kansas
- Member, Dean Fields's Review Committee, Spring 2022, University of Kansas
- Member, Klapper Award Review Committee, Spring 2022, University of Kansas
- Presenter, *MBSP Summer Ventures in Business*, July 2022, July 2020, and June 2019, University of Kansas
- Guest Speaker, Pharmacy School Honors Fraternity: Kappa Psi, October 2020, University of Kansas
- Member, Careers in... Curriculum Committee, Fall 2019, University of Kansas
- Presenter, *Majors Night*, Fall 2019, University of Kansas
- Planning Chair, *Women's Leadership Summit*, 2017-2018, William & Mary
- Assistant Supervisor to Finance Academy (Undergraduate Co-Curricular Club), Fall 2011-Spring 2013, William & Mary
- Supervisor, Undergraduate Independent Studies, William & Mary
- Honors Thesis Committee Member for Amy Filipek, *Economics*, 2011
- Deposit Insurance Bibliography (with Vivian Hwa and Ken Jones), FDIC
- Seminar Coordinator, FDIC

## SERVICE TO ACADEMIC COMMUNITY

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- Member, Scientific Committee, Euro Working Group on Commodities & Financial Modeling, 2022
- Ad hoc referee *Review of Financial Studies*; *Journal of Money, Credit and Banking*; *Journal of Corporate Finance*; *Journal of Financial Services Research*; and *Journal of Financial Stability*, 2007-2018
- Representative to University Library Committee, William & Mary, 2015-2018
- Discussant, FDIC Fall Workshops, 2007-2009
- Ph.D. Student Advisory Committee, Carlson School, 2003-2005

## FINANCIAL PRESS

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- Wallethub, "Best Secured Credit Cards," *Ask the Experts*, June 8, 2022  
[https://wallethub.com/best-secured-credit-cards#expert=Claire M. Rosenfeld](https://wallethub.com/best-secured-credit-cards#expert=Claire_M._Rosenfeld)
- Mauboussin, Michael J., Dan Callahan, and Darius Majd, "Form Follows Function: Organizational Structure and Investment Results", *Credit Suisse, Global Financial Strategies*, July 8, 2016

## **FINANCIAL PRESS (continued)**

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- Ramtough, Imrith, “A Study of Analyst-Run Mutual Funds: The Abilities and Roles of Buy-Side Analysts (Digest Summary)”, *CFA Digest*, July 2016, Volume 6, Issue 7
- “When Central Bankers Become Central Planners”, *The Wall Street Journal*, Sept. 29, 2014
- “Are Analysts Better Managers?”, *Institutional Money*, November 2012

## **TESTIMONY REFERENCE**

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House Financial Services Committee Hearing; “Dodd-Frank Five Years Later: Are We More Prosperous?”, Testimony by Peter Wallison, July 28, 2015

## **ACADEMIC PUBLICATIONS**

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- “Does Bank Supervision Impact Bank Loan Growth?” with Paul H. Kupiec (American Enterprise Institute) and Yan Y. Lee (FDIC), 2017, *Journal of Financial Stability* 28, 29-48.
- “A Study of Analyst-Run Mutual Funds: The Abilities and Roles of Buy-Side Analysts” with Gjergji Cici (University of Kansas), 2016, *Journal of Empirical Finance* 36, 8-29.
- “The Effect of Banking Relationships on the Future of Financially Distressed Firms”, 2014, *Journal of Corporate Finance* 25, 403-418.

## **WORKING PAPERS**

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- “Cross-Company Effects of Common Ownership: Dealings Between Borrowers and Lenders with a Common Blockholder” with Gjergji Cici (University of Kansas) and Scott Gibson (William & Mary), *Completed manuscript*
- “The Effects of Banking Relationships: Short-Term and Long-Term Loan Announcement Effects”, *Completed manuscript*

## **WORK IN PROGRESS**

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- “Borrower Performance When Lenders Suffer”
- “Borrower Fraud: How Do Lenders Respond?” with Stanislava Nikolova (University of Nebraska)

## **ACADEMIC PRESENTATIONS**

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- Financial Management Association Meetings, Las Vegas, October 2016
- Federal Reserve Bank of Atlanta\*, February 2013
- Southern Finance Association\*, Charleston, SC, November 2012
- The Effect of Tighter Regulatory Requirements on Bank Profitability and Risk-Taking Incentives Conference, Bocconi University\*, October 2012
- Bosphorus University\*, November 2011
- 7<sup>th</sup> Annual Bank Research Conference, FDIC, September 2007
- 2<sup>nd</sup> Conference on Financial Regulation and Supervision, Bocconi University, June 2007
- Financial Management Association Meetings, Salt Lake City, October 2006
- Mid-Atlantic Research Conference, Villanova University, March 2006

\* denotes co-author presentation

## **CONFERENCE PROGRAM CHAIR**

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- Mid-Atlantic Research Conference, Villanova University, March 2013

## **CONFERENCE DISCUSSIONS**

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- Mid-Atlantic Research Conference, Villanova University, March 2011
- Washington Area Finance Association, George Washington University, 2008
- 3<sup>rd</sup> Conference on Financial Regulation and Supervision, Bocconi University, June 2008
- Financial Management Association Meetings, Salt Lake City, 2006
- Financial Management Association Meetings, Chicago, 2005